



*NSF International Standard /
American National Standard*

NSF/ANSI 401 - 2016

Drinking Water Treatment Units -
Emerging Compounds/Incidental
Contaminants



NSF International, an independent, not-for-profit, non-governmental organization, is dedicated to being the leading global provider of public health and safety-based risk management solutions while serving the interests of all stakeholders.

*This Standard is subject to revision.
Contact NSF to confirm this revision is current.*

Users of this Standard may request clarifications and interpretations, or propose revisions by contacting:

Chair, Joint Committee on Drinking Water Treatment Units
c/o NSF International
789 North Dixboro Road, P. O. Box 130140
Ann Arbor, Michigan 48113-0140 USA
Phone: (734) 769-8010 Telex: 753215 NSF INTL
FAX: (734) 769-0109
E-mail: info@nsf.org
Web: <http://www.nsf.org>

NSF International Standard/
American National Standard
for Drinking Water Treatment Units –

**Drinking water treatment units –
Emerging compounds/incidental
contaminants**

Standard Developer

NSF International

NSF International

Designated as an ANSI Standard

March 3, 2016

American National Standards Institute

Prepared by
The NSF Joint Committee on Drinking Water Treatment Units

Adopted by
NSF International
August 2014

Revised September 2016

Published by

NSF International
PO Box 130140, Ann Arbor, Michigan 48113-0140, USA

For ordering copies or for making inquiries with regard to this Standard, please reference the designation
“NSF/ANSI 401 – 2016.”

Copyright 2016 NSF International
Previous editions © 2014

Unless otherwise specified, no part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from NSF International.

Printed in the United States of America.

Disclaimers¹

NSF, in performing its functions in accordance with its objectives, does not assume or undertake to discharge any responsibility of the manufacturer or any other party. The opinions and findings of NSF represent its professional judgment. NSF shall not be responsible to anyone for the use of or reliance upon this Standard by anyone. NSF shall not incur any obligation or liability for damages, including consequential damages, arising out of or in connection with the use, interpretation of, or reliance upon this Standard.

NSF Standards provide basic criteria to promote sanitation and protection of the public health. Provisions for mechanical and electrical safety have not been included in this Standard because governmental agencies or other national standards-setting organizations provide safety requirements.

Participation in NSF Standards development activities by regulatory agency representatives (federal, local, state) shall not constitute their agency's endorsement of NSF or any of its Standards.

Preference is given to the use of performance criteria measurable by examination or testing in NSF Standards development when such performance criteria may reasonably be used in lieu of design, materials, or construction criteria.

The illustrations, if provided, are intended to assist in understanding their adjacent standard requirements. However, the illustrations may not include **all** requirements for a specific product or unit, nor do they show the only method of fabricating such arrangements. Such partial drawings shall not be used to justify improper or incomplete design and construction.

Unless otherwise referenced, the annexes are not considered an integral part of NSF Standards. The annexes are provided as general guidelines to the manufacturer, regulatory agency, user, or certifying organization.

¹ The information contained in this Disclaimer is not part of this American National Standard (ANS) and has not been processed in accordance with ANSI's requirements for an ANS. Therefore, this Disclaimer may contain material that has not been subjected to public review or a consensus process. In addition, it does not contain requirements necessary for conformance to the Standard.

This page is intentionally left blank.

Contents

1	General	1
1.1	Purpose	1
1.2	Scope	1
1.3	Alternate materials, designs, and construction	1
1.4	Chemical and mechanical reduction performance claims	1
1.5	Minimum requirements.....	2
1.6	Treatment train.....	2
2	Normative references	2
3	Definitions	3
4	Materials	3
4.1	Materials in contact with drinking water	3
4.2	Membrane preservatives.....	4
4.3	Temperature resistance	4
4.4	Materials evaluation	4
4.5	Gas chromatography/mass spectroscopy (GC/MS) analysis	6
4.6	Materials in contact with the user's mouth	8
5	Structural performance	15
5.1	Structural integrity	15
5.2	Acceptance	15
5.3	Working pressure	16
5.4	Structural integrity test methods	16
6	Minimum performance requirements.....	22
6.1	Performance indication of chemical reduction capacity.....	22
6.2	Elements	23
6.3	Flow control.....	23
6.4	Drinking fountain outlets.....	24
6.5	Hazards.....	24
6.6	Systems used in bottled water plants	24
6.7	Operation temperature	24
6.8	POE rated pressure drop	24
6.9	Minimum service flow.....	24
6.10	Rated service flow	25
6.11	Active agents and additives.....	25
7	Elective performance claims – test methods.....	26
7.1	General requirements	26
7.2	Chemical reduction claims	28
8	Instruction and information	35
8.1	Installation, operation, and maintenance instructions.....	35
8.2	Data plate.....	36
8.3	Replacement components	37
8.4	Performance data sheet.....	37
	Annex A.....	A1
	Annex B.....	B1
	Annex C.....	C1
	Annex D.....	D1
	Annex E.....	E1

This page is intentionally left blank.

Foreword²

The purpose of this Standard is to establish minimum requirements for materials, design and construction, and performance of drinking water treatment systems that are designed to reduce emerging compounds in public or private water supplies, such as pharmaceutical, personal care products (PPCPs), and endocrine disrupting compounds (EDCs).

While standards have existed to evaluate treatment products designed to reduce a wide range of potential compounds and contaminants in drinking water, there have been none for emerging compounds. These compounds have an increasing awareness among consumers and a desired demonstration of reduction among product manufacturers causing the need for proper methods of test and criteria.

In 2008, a task group was formed and charged with making recommendations to the Joint Committee regarding the feasibility of producing a standard (or standards) for EDCs and PPCPs. A subtask group was also formed in 2008 and charged with surveying data on target compounds and classes of compounds and to evaluate the state of analytical capabilities for these compounds.

The initial list of contaminants included in this Standard represent identified pharmaceutical, personal care and EDC compounds that have been identified in published studies as occurring with the highest frequency, and/or at the highest levels of those compounds identified and studied. While occurring at levels well below any known or measured health effect, the mere presence of these compounds in drinking water has resulted in increased concern on the part of consumers. It is anticipated that with the advancement of science additional compounds or classes of compounds will be identified that will result in similar levels of concern for consumers. It is envisioned that NSF/ANSI 401 can become the repository for these contaminants.

It has been discussed that should future toxicological studies and/or research determine that any of the compounds in this Standard pose health risks at the concentrations in this Standard, that the claims should be removed from this Standard and re-established in the appropriate health effects standard (e.g., NSF/ANSI 53).

This version includes the following revisions:

Issue 2:

This revision harmonized the Standard with updates made to the family of DWTU standards regarding structural integrity, section 4 materials, treatment train criteria, and batch treatment systems.

Issue 3:

Methods and procedures to minimize premature filter plugging were incorporated.

Issue 6:

CAS numbers were added to Table 4.1 of the materials evaluation criteria (section 4).

Issue 7:

Language to state that systems be conditioned using the test water with the specified contaminant for chemical reduction claims under section 7 was incorporated.

² The information contained in this Foreword is not part of this American National Standard (ANS) and has not been processed in accordance with ANSI's requirements for an ANS. Therefore, this Foreword may contain material that has not been subjected to public review or a consensus process. In addition, it does not contain requirements necessary for conformance to the Standard.

The tables in this edition have also been changed to reflect the appropriate section in which it is located:

Previous edition of NSF/ANSI 401	Current edition of NSF/ANSI 401
Table 1	Table 4.1
Table 2	Table 4.2
Table 3	Table 4.3
Table 4	Table 4.4
Table 5	Table 5.1
Table 6	Table 6.1
Table 7	Table 7.1
Table 8	Table 8.1

This Standard was developed by the NSF Joint Committee on Drinking Water Treatment Units using the consensus process described by the American National Standards Institute.

Suggestions for improvement of this Standard are welcome. This Standard is maintained on a Continuous Maintenance schedule and can be opened for comment at any time. Comments should be sent to Chair, Joint Committee on Drinking Water Treatment Units at standards@nsf.org, or c/o NSF International, Standards Department, P.O. Box 130140, Ann Arbor, Michigan 48113-0140, USA.

NSF/ANSI Standard
for Drinking Water Treatment Units –

Drinking water treatment units – Emerging compounds/incidental contaminants

1 General

1.1 Purpose

It is the purpose of this Standard to establish minimum requirements for materials, design and construction, and performance of drinking water treatment systems that are designed to reduce specific emerging compounds/incidental contaminants in public or private water supplies, such as pharmaceutical, personal care products, and endocrine disrupting compounds. This Standard also specifies the minimum product literature and labeling information that a manufacturer shall supply to authorized representatives and system owners as well as the minimum service-related obligations that the manufacturer shall extend to system owners.

1.2 Scope

The point-of-use and point-of-entry systems addressed by this Standard are designed to be used for the reduction of specific substances that may be present in drinking water (public or private) considered to be microbiologically safe and of known quality. Systems covered under this Standard are intended to reduce substances that are at very low, yet measurable concentrations but not at definitive concentrations of known health concern. These substances may be soluble or particulate in nature but their presence, even at very low concentrations, may influence public acceptance/perception of the drinking water quality. The systems addressed by this Standard are not intended for reducing these specific substances at higher concentrations that may have a known acute or chronic health effect. It is recognized that a system may be effective in reducing one or more of the emerging compounds/incidental contaminants listed in this Standard. It is not necessary that a device be able to reduce all the Emerging Compounds/Incidental Contaminants listed in order to meet the requirements of this Standard. Systems with components or functions covered under other NSF or NSF/ANSI standards or criteria shall conform to the applicable requirements therein.

1.3 Alternate materials, designs, and construction

While specific materials, designs, and construction may be stipulated in this Standard, systems that incorporate alternate materials, designs, and construction may be acceptable when it is verified that such systems meet the applicable requirements stated herein.

1.4 Chemical and mechanical reduction performance claims

1.4.1 All NSF/ANSI 401 performance claims shall be verified and substantiated by test data generated under the requirements of NSF/ANSI 401.

1.4.2 When performance claims are made for substances not specifically addressed in the scope of this Standard or for substances not specifically addressed but falling under the scope of NSF/ANSI 401, such claims shall be identified as not specifically addressed in the Standard.