

IEEE Guide for the Application of Surge-Protective Components in Surge-Protective Devices and Equipment Ports—Overview

IEEE Power and Energy Society

Sponsored by the
Surge Protective Devices Committee

IEEE Guide for the Application of Surge-Protective Components in Surge-Protective Devices and Equipment Ports—Overview

Sponsor

**Surge Protective Devices Committee
of the
IEEE Power and Energy Society**

Approved 7 December 2016

IEEE-SA Standards Board

Abstract: Surge-protective components (SPCs) used in power and telecom surge-protective devices (SPDs) and equipment ports are covered in the C62.42 guide series. This Overview part covers protective functions, both non-linear and linear; component technologies and characteristics; common circuit designs used in SPDs and equipment ports; and information on the impulse (surge) generators used to test SPCs. Additional parts of C62.42 on specific SPC technologies provide details on component construction, characteristics and ratings, and application examples.

Keywords: components, electrical protection, equipment ports, IEEE C62.42.0™, mitigation, overcurrent, overvoltage, power, surge, surge-protective devices, telecommunication

The Institute of Electrical and Electronics Engineers, Inc.
3 Park Avenue, New York, NY 10016-5997, USA

Copyright © 2017 by The Institute of Electrical and Electronics Engineers, Inc.
All rights reserved. Published 29 September 2017. Printed in the United States of America.

IEEE is a registered trademark in the U.S. Patent & Trademark Office, owned by The Institute of Electrical and Electronics Engineers, Incorporated.

Annex B reprinted with permission from Michael John Maytum, Impulse generators used for testing low-voltage equipment, ©2012.

Material in Clause 3, Clause 4, and Clause 5 reprinted with permission from Michael John Maytum, Introduction to Surge Mitigation Techniques, ©2013.

PDF: ISBN 978-1-5044-3685-4 STD22388
Print: ISBN 978-1-5044-3686-1 STDPD22388

IEEE prohibits discrimination, harassment, and bullying.

For more information, visit <http://www.ieee.org/web/aboutus/whatis/policies/p9-26.html>.

No part of this publication may be reproduced in any form, in an electronic retrieval system or otherwise, without the prior written permission of the publisher.

Important Notices and Disclaimers Concerning IEEE Standards Documents

IEEE documents are made available for use subject to important notices and legal disclaimers. These notices and disclaimers, or a reference to this page, appear in all standards and may be found under the heading “Important Notices and Disclaimers Concerning IEEE Standards Documents.” They can also be obtained on request from IEEE or viewed at <http://standards.ieee.org/IPR/disclaimers.html>.

Notice and Disclaimer of Liability Concerning the Use of IEEE Standards Documents

IEEE Standards documents (standards, recommended practices, and guides), both full-use and trial-use, are developed within IEEE Societies and the Standards Coordinating Committees of the IEEE Standards Association (“IEEE-SA”) Standards Board. IEEE (“the Institute”) develops its standards through a consensus development process, approved by the American National Standards Institute (“ANSI”), which brings together volunteers representing varied viewpoints and interests to achieve the final product. IEEE Standards are documents developed through scientific, academic, and industry-based technical working groups. Volunteers in IEEE working groups are not necessarily members of the Institute and participate without compensation from IEEE. While IEEE administers the process and establishes rules to promote fairness in the consensus development process, IEEE does not independently evaluate, test, or verify the accuracy of any of the information or the soundness of any judgments contained in its standards.

IEEE Standards do not guarantee or ensure safety, security, health, or environmental protection, or ensure against interference with or from other devices or networks. Implementers and users of IEEE Standards documents are responsible for determining and complying with all appropriate safety, security, environmental, health, and interference protection practices and all applicable laws and regulations.

IEEE does not warrant or represent the accuracy or content of the material contained in its standards, and expressly disclaims all warranties (express, implied and statutory) not included in this or any other document relating to the standard, including, but not limited to, the warranties of: merchantability; fitness for a particular purpose; non-infringement; and quality, accuracy, effectiveness, currency, or completeness of material. In addition, IEEE disclaims any and all conditions relating to: results; and workmanlike effort. IEEE standards documents are supplied “AS IS” and “WITH ALL FAULTS.”

Use of an IEEE standard is wholly voluntary. The existence of an IEEE standard does not imply that there are no other ways to produce, test, measure, purchase, market, or provide other goods and services related to the scope of the IEEE standard. Furthermore, the viewpoint expressed at the time a standard is approved and issued is subject to change brought about through developments in the state of the art and comments received from users of the standard.

In publishing and making its standards available, IEEE is not suggesting or rendering professional or other services for, or on behalf of, any person or entity nor is IEEE undertaking to perform any duty owed by any other person or entity to another. Any person utilizing any IEEE Standards document, should rely upon his or her own independent judgment in the exercise of reasonable care in any given circumstances or, as appropriate, seek the advice of a competent professional in determining the appropriateness of a given IEEE standard.

IN NO EVENT SHALL IEEE BE LIABLE FOR ANY DIRECT, INDIRECT, INCIDENTAL, SPECIAL, EXEMPLARY, OR CONSEQUENTIAL DAMAGES (INCLUDING, BUT NOT LIMITED TO: PROCUREMENT OF SUBSTITUTE GOODS OR SERVICES; LOSS OF USE, DATA, OR PROFITS; OR BUSINESS INTERRUPTION) HOWEVER CAUSED AND ON ANY THEORY OF LIABILITY, WHETHER IN CONTRACT, STRICT LIABILITY, OR TORT (INCLUDING NEGLIGENCE OR OTHERWISE) ARISING IN ANY WAY OUT OF THE PUBLICATION, USE OF, OR RELIANCE UPON ANY STANDARD, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGE AND REGARDLESS OF WHETHER SUCH DAMAGE WAS FORESEEABLE.

Translations

The IEEE consensus development process involves the review of documents in English only. In the event that an IEEE standard is translated, only the English version published by IEEE should be considered the approved IEEE standard.

Official statements

A statement, written or oral, that is not processed in accordance with the IEEE-SA Standards Board Operations Manual shall not be considered or inferred to be the official position of IEEE or any of its committees and shall not be considered to be, or be relied upon as, a formal position of IEEE. At lectures, symposia, seminars, or educational courses, an individual presenting information on IEEE standards shall make it clear that his or her views should be considered the personal views of that individual rather than the formal position of IEEE.

Comments on standards

Comments for revision of IEEE Standards documents are welcome from any interested party, regardless of membership affiliation with IEEE. However, IEEE does not provide consulting information or advice pertaining to IEEE Standards documents. Suggestions for changes in documents should be in the form of a proposed change of text, together with appropriate supporting comments. Since IEEE standards represent a consensus of concerned interests, it is important that any responses to comments and questions also receive the concurrence of a balance of interests. For this reason, IEEE and the members of its societies and Standards Coordinating Committees are not able to provide an instant response to comments or questions except in those cases where the matter has previously been addressed. For the same reason, IEEE does not respond to interpretation requests. Any person who would like to participate in revisions to an IEEE standard is welcome to join the relevant IEEE working group.

Comments on standards should be submitted to the following address:

Secretary, IEEE-SA Standards Board
445 Hoes Lane
Piscataway, NJ 08854 USA

Laws and regulations

Users of IEEE Standards documents should consult all applicable laws and regulations. Compliance with the provisions of any IEEE Standards document does not imply compliance to any applicable regulatory requirements. Implementers of the standard are responsible for observing or referring to the applicable regulatory requirements. IEEE does not, by the publication of its standards, intend to urge action that is not in compliance with applicable laws, and these documents may not be construed as doing so.

Copyrights

IEEE draft and approved standards are copyrighted by IEEE under U.S. and international copyright laws. They are made available by IEEE and are adopted for a wide variety of both public and private uses. These include both use, by reference, in laws and regulations, and use in private self-regulation, standardization, and the promotion of engineering practices and methods. By making these documents available for use and adoption by public authorities and private users, IEEE does not waive any rights in copyright to the documents.

Photocopies

Subject to payment of the appropriate fee, IEEE will grant users a limited, non-exclusive license to photocopy portions of any individual standard for company or organizational internal use or individual, non-commercial use only. To arrange for payment of licensing fees, please contact Copyright Clearance Center, Customer Service, 222 Rosewood Drive, Danvers, MA 01923 USA; +1 978 750 8400. Permission to photocopy portions of any individual standard for educational classroom use can also be obtained through the Copyright Clearance Center.

Updating of IEEE Standards documents

Users of IEEE Standards documents should be aware that these documents may be superseded at any time by the issuance of new editions or may be amended from time to time through the issuance of amendments, corrigenda, or errata. An official IEEE document at any point in time consists of the current edition of the document together with any amendments, corrigenda, or errata then in effect.

Every IEEE standard is subjected to review at least every ten years. When a document is more than ten years old and has not undergone a revision process, it is reasonable to conclude that its contents, although still of some value, do not wholly reflect the present state of the art. Users are cautioned to check to determine that they have the latest edition of any IEEE standard.

In order to determine whether a given document is the current edition and whether it has been amended through the issuance of amendments, corrigenda, or errata, visit the IEEE Xplore at <http://ieeexplore.ieee.org/> or contact IEEE at the address listed previously. For more information about the IEEE-SA or IEEE's standards development process, visit the IEEE-SA Website at <http://standards.ieee.org>.

Errata

Errata, if any, for all IEEE standards can be accessed on the IEEE-SA Website at the following URL: <http://standards.ieee.org/findstds/errata/index.html>. Users are encouraged to check this URL for errata periodically.

Patents

Attention is called to the possibility that implementation of this standard may require use of subject matter covered by patent rights. By publication of this standard, no position is taken by the IEEE with respect to the existence or validity of any patent rights in connection therewith. If a patent holder or patent applicant has filed a statement of assurance via an Accepted Letter of Assurance, then the statement is listed on the IEEE-SA Website at <http://standards.ieee.org/about/sasb/patcom/patents.html>. Letters of Assurance may indicate whether the Submitter is willing or unwilling to grant licenses under patent rights without compensation or under reasonable rates, with reasonable terms and conditions that are demonstrably free of any unfair discrimination to applicants desiring to obtain such licenses.

Essential Patent Claims may exist for which a Letter of Assurance has not been received. The IEEE is not responsible for identifying Essential Patent Claims for which a license may be required, for conducting inquiries into the legal validity or scope of Patents Claims, or determining whether any licensing terms or conditions provided in connection with submission of a Letter of Assurance, if any, or in any licensing agreements are reasonable or non-discriminatory. Users of this standard are expressly advised that determination of the validity of any patent rights, and the risk of infringement of such rights, is entirely their own responsibility. Further information may be obtained from the IEEE Standards Association.

Participants

At the time this guide was completed, the 3.6.3 Low Voltage Surge-Protective Components Application Guide Working Group had the following membership:

Mick Maytum, *Chair*
William Travis, *Vice Chair*

Tim Ardley
Robert Ashton
Frank Basciano
Nisar Chaudhry

Leonard Drewes
Bob Fried
Ernie Gallo
Bogdan Klobassa

Peter Kobsa
Al Martin
Wolfgang Oertel
Thomas Tran

The following members of the individual balloting committee voted on this guide. Balloters may have voted for approval, disapproval, or abstention.

Charles Barest
Frank Basciano
William Bush
Gary Donner
Randall Groves
Phillip Havens
Raymond Hill
Werner Hoelzl
Ronald Hotchkiss
John Kay
Yuri Khersonsky

Chad Kiger
Benjamin Lanz
Lawrenc Long
Mick Maytum
Daleep Mohla
Michael Newman
Wolfgang Oertel
Lorraine Padden
Percy Pool
Iulian Profir

Charles Rogers
Thomas Rozek
Steven Sano
Nikunj Shah
Jerry Smith
David Tepen
James Timperley
John Vergis
Matthew Wakeham
Lanyi Wang
Kenneth White

When the IEEE-SA Standards Board approved this guide on 7 December 2016, it had the following membership:

Jean-Philippe Faure, *Chair*
Ted Burse, *Vice Chair*
John D. Kulick, *Past Chair*
Konstantinos Karachalios, *Secretary*

Chuck Adams
Masayuki Ariyoshi
Stephen Dukes
Jianbin Fan
J. Travis Griffith
Gary Hoffman

Ronald W. Hotchkiss
Michael Janezic
Joseph L. Koepfinger*
Hung Ling
Kevin Lu
Annette D. Reilly
Gary Robinson

Mehmet Ulema
Yingli Wen
Howard Wolfman
Don Wright
Yu Yuan
Daidi Zhong

*Member Emeritus

Introduction

This introduction is not part of IEEE Std C62.42.0™-2016, IEEE Guide for the Application of Surge-Protective Components in Surge-Protective Devices and Equipment Ports—Overview.

External electrical events, such as lightning, can couple into a system by the mechanisms of direct coupling, electric fields, magnetic fields, and electromagnetic fields. Depending on the coupling mechanism, the coupling level can be reduced by the use of equipotential bonding, isolation, and shielding. Once the surge is in the system it can be further mitigated by the use of surge-protective components in surge-protective devices and the equipment ports.

The surge appearing in the system may be a voltage wave or a current wave or both. At a given location the surge may be common-mode or differential-mode or a combination of both. The choice of protection configuration and components will depend on the type of expected surge and the system parameters. Surge-protection component functions are either non-linear limiting or linear attenuating. Both types of protective functions can be used together to achieve the optimum surge mitigation. For example, an isolation transformer could be used to provide a galvanic break and common-mode voltage surge mitigation together with non-linear voltage limiters connected across the transformer windings to provide differential-mode voltage surge mitigation.

Clause 3 of this document describes the different terms used in protection engineering. Clause 4 describes the mitigation function types. Clause 5 outlines available protection component technologies and characteristics. Clause 6 provides some examples of protection configurations and their protection components.

Component surge performance is evaluated by testing with a specified impulse generator. Informative Annex B, Annex C, and Annex D describe the various types of impulse generators, their interaction with surge-protective components, and the resultant component electrical stress levels.

Contents

1. Scope	1
2. Definitions, acronyms, and abbreviations	2
2.1 Definitions	2
2.2 Acronyms and abbreviations	5
3. Protection or mitigation or suppression?	6
4. Surge mitigation functions.....	7
4.1 Non-linear protective functions	7
4.2 Linear suppression functions	7
5. Component technologies and characteristics	7
5.1 Surge mitigation functions.....	7
5.2 Non-linear limiting	8
5.3 Linear suppression	11
6. Examples of protective circuit configurations	16
6.1 Signal SPD.....	17
6.2 Power over Ethernet port protection.....	17
6.3 Subscriber line interface circuit (SLIC) supply voltage tracking voltage limiter	18
6.4 AC surge protection.....	18
6.5 Thermally protected MOVs for ac mains	19
6.6 Ethernet transceiver (PHY) overvoltage protection.....	19
6.7 Equipment ac power port mains filter.....	20
6.8 Power line communication port.....	20
Annex A (informative) Bibliography	21
Annex B (informative) Impulse (surge) generators used to test surge-protective components (SPCs).....	24
B.1 Introduction.....	24
B.2 Types of impulse generators	24
B.3 Impulse generator parameters	24
B.4 Impulse generators typically used for surge-protector testing	27
B.5 Impulse generator circuits.....	30
B.6 Combination wave generators.....	35
B.7 Expanding single output generators to multiple output.....	38
B.8 Generator variants	42
Annex C (informative) Derived wave shape parameters	46
C.1 Introduction.....	46
C.2 Exponential waveforms.....	46
C.3 Design examples	50
Annex D (informative) Changes introduced by IEC 61000-4-5 Edition 3 [B13].....	52
D.1 Introduction	52
D.2 Surge waveform modelling.....	52
D.3 Impulse time	52
D.4 10/700 generator testing of outside telecommunication lines.....	55

IEEE Guide for the Application of Surge-Protective Components in Surge-Protective Devices and Equipment Ports—Overview

1. Scope

The IEEE C62.42™ guide series covers surge-protective components (SPCs) used in power and telecom surge-protective devices (SPDs) and equipment ports. This overview covers the following:

- Protective functions both non-linear and linear
- Component technologies and characteristics
- Common circuit designs used in SPDs and equipment ports
- Information on the impulse (surge) generators used to test SPCs

Additional parts of IEEE C62.42™ on specific SPC technologies provide details on the following:

- Component construction
- Characteristics and ratings
- Application examples