



*NSF International Standard /
American National Standard*

NSF/ANSI 55 - 2015

**Ultraviolet Microbiological
Water Treatment Systems**



NSF International, an independent, not-for-profit, non-governmental organization, is dedicated to being the leading global provider of public health and safety-based risk management solutions while serving the interests of all stakeholders.

*This Standard is subject to revision.
Contact NSF to confirm this revision is current.*

Users of this Standard may request clarifications and interpretations, or propose revisions by contacting:

Chair, Joint Committee on Drinking Water Treatment Units
c/o NSF International
789 North Dixboro Road, P. O. Box 130140
Ann Arbor, Michigan 48113-0140 USA
Phone: (734) 769-8010 Telex: 753215 NSF INTL
FAX: (734) 769-0109
E-mail: info@nsf.org
Web: <http://www.nsf.org>

NSF International Standard/
American National Standard
for Drinking Water Treatment Units –

**Ultraviolet microbiological
water treatment systems**

Standard Developer
NSF International

NSF International

Designated as an ANSI Standard
April 26, 2015
American National Standards Institute

Prepared by
The NSF Joint Committee on Drinking Water Treatment Units

Recommended for Adoption by
The NSF Council of Public Health Consultants

Adopted by
The NSF Board of Directors
May 1991

Revised January 2000
Revised January 2002
 Addendum, June 2002
 Addendum, February 2004
Revised October 2004
Revised October 2007
Revised August 2009
Revised August 2012
Revised December 2013
Revised January 2015
Revised October 2015

Published by

NSF International
PO Box 130140, Ann Arbor, Michigan 48113-0140, USA

For ordering copies or for making inquiries with regard to this Standard, please reference the designation “NSF/ANSI 55 – 2015.”

Copyright 2015 NSF International
Previous editions © 2014, 2013, 2012, 2009, 2007, 2004, 2002, 2000, 1991

Unless otherwise specified, no part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from NSF International.

Printed in the United States of America.

Disclaimers¹

NSF International (NSF), in performing its functions in accordance with its objectives, does not assume or undertake to discharge any responsibility of the manufacturer or any other party. The opinions and findings of NSF represent its professional judgment. NSF shall not be responsible to anyone for the use of or reliance upon this Standard by anyone. NSF shall not incur any obligation or liability for damages, including consequential damages, arising out of or in connection with the use, interpretation of, or reliance upon this Standard.

NSF Standards provide basic criteria to promote sanitation and protection of the public health. Provisions for mechanical and electrical safety have not been included in this Standard because governmental agencies or other national standards-setting organizations provide safety requirements.

Participation in NSF's Standards development activities by regulatory agency representatives (federal, local, state) shall not constitute their agency's endorsement of NSF or any of its Standards.

Preference is given to the use of performance criteria measurable by examination or testing in NSF Standards development when such performance criteria may reasonably be used in lieu of design, materials, or construction criteria.

The illustrations, if provided, are intended to assist in understanding their adjacent standard requirements. However, the illustrations may not include **all** requirements for a specific product or unit, nor do they show the only method of fabricating such arrangements. Such partial drawings shall not be used to justify improper or incomplete design and construction.

Unless otherwise referenced, the annexes are not considered an integral part of NSF Standards. The annexes are provided as general guidelines to the manufacturer, regulatory agency, user, or certifying organization.

¹ The information contained in this Disclaimer is not part of this American National Standard (ANS) and has not been processed in accordance with ANSI's requirements for an ANS. Therefore, this Disclaimer may contain material that has not been subjected to public review or a consensus process. In addition, it does not contain requirements necessary for conformance to the Standard.

This page is intentionally left blank.

Contents

1	General	1
1.1	Purpose	1
1.2	Scope	1
1.3	Variance from minimum requirements	2
1.4	Alternate materials	2
2	Normative references	2
3	Definitions	3
4	Materials	3
4.1	Materials in contact with drinking water	3
4.2	Materials evaluation	4
4.3	Gas chromatography/mass spectroscopy (GC/MS) analysis	5
5	Structural performance	15
5.1	Structural integrity	15
6	Minimum performance requirements.....	20
6.1	General.....	20
6.2	Performance indication.....	20
6.3	Elements	21
6.4	Flow control	21
6.5	Waste connections.....	21
6.6	Product water dispensing outlets.....	21
6.7	Hazards	21
6.8	Lamp operation indication	22
6.9	Lamp replacement	22
6.10	Maintenance.....	22
6.11	Temperature resistance	22
6.12	Corrodible materials.....	22
6.13	Gaskets, o-rings, shaft seals, and packing materials	22
6.14	Dissimilar metals.....	22
6.15	Insulating fittings.....	22
6.16	Plastics.....	22
6.17	Welding	22
7	Elective performance claims – test methods	23
7.1	General.....	23
7.2	Microbiological performance.....	23
8	Instructions and information.....	32
8.1	Installation, operation, and maintenance instructions.....	32
8.2	Data plate	33
8.3	Replacement components.....	34
8.4	Performance data sheet.....	36
Annex A	A1
Annex B	B1
Annex C	C1

This page is intentionally left blank.

Foreword²

The purpose of this Standard is to establish minimum requirements for the reduction of microorganisms using ultraviolet radiation (UV). UV water treatment systems covered by this Standard are intended for water that may be either microbiologically safe or microbiologically unsafe. This Standard also specifies the minimum product literature and labeling information that a manufacturer shall supply to authorized representatives and system owners, as well as the minimum service-related obligations that the manufacturer shall extend to system owners. Systems covered by this Standard are in keeping with the *Report of Task Force on Guide Standard and Protocol for Testing Microbiological Water Purifiers*, April, 1987.³

It is recognized that the federal, state and local objectives are to provide safe water supplies without user treatment. However, many users are faced with the presence of contaminants of both aesthetic and health concern in their water supplies, and need guidance as to the availability of tested and certified point-of-entry and point-of-use ultraviolet water treatment systems. This Standard will help to meet this need but cannot be expected to address claims beyond those covered in this Standard.

Since it was not economically feasible to mount a routine testing program using all of the target microorganisms, e. g., bacteria, viruses, and protozoan cysts, an equivalent "disinfection" set of tests and requirements was developed for point-of-use and point-of-entry ultraviolet disinfection systems.

A virus reduction of 4 log against a poliovirus and rotavirus challenge and a bacteriological reduction of 6 logs against a challenge of a coliform bacteria (*Klebsiella terrigena*) has been recommended by Schaub and an expert task force (1987).⁴

The technical and health protection problems (laboratory staff) and the inherent cost of establishing and maintaining a live virus test program preclude its routine application in a multipurpose standards testing laboratory. Consequently, an alternate means of assuring virus efficacy was developed.

Survival data for poliovirus and rotavirus (Chang, 1985)⁵ show that between a 3- and 4-log reduction in both poliovirus and rotavirus may be accomplished by a UV dosage of 30,000 $\mu\text{W}\cdot\text{sec}/\text{cm}^2$ while a greater than 6-log reduction of *Escherichia coli* may be projected. Additional data (Harris, 1986)⁶ show a 5-log reduction of poliovirus at 40,000 $\mu\text{W}\cdot\text{sec}/\text{cm}^2$. In NSF/ANSI 55 2000, a minimum UV dosage of 38,000 $\mu\text{W}\cdot\text{sec}/\text{cm}^2$ at the failsafe setpoint was set as an equivalent 4-log virus reduction requirement. To be consistent with International Standards, the minimum UV dose in NSF/ANSI 55 2002 was changed to 40 mJ/cm^2 (40,000 $\mu\text{W}\cdot\text{sec}/\text{cm}^2$) at the alarm set point.

Prior to the late 1990s, it was thought that ultraviolet light had limited cysticidal ability, which required information for the user as to the need for a prefilter complying with NSF/ANSI 53: *Drinking water treatment units – Health effects* for cyst reduction. Survival data for *Cryptosporidium* (Clancy, 2000)⁷ and

² The information contained in this Foreword is not part of this American National Standard (ANS) and has not been processed in accordance with ANSI's requirements for an ANS. Therefore, this Foreword may contain material that has not been subjected to public review or a consensus process. In addition, it does not contain requirements necessary for conformance to the Standard.

³ *Guide Standard and Protocol for Testing Microbiological Water Purifiers*, Report of Task Force, submitted by Steven A. Schaub to the USEPA, April 1987

⁴ Ibid. p. 7

⁵ "UV Inactivation of Pathogenic and Indicator Microorganisms," Chang, J.C., Johnson, J. Doald, et al. *Journal of Applied Environmental Microbiology*, Vol. 49, pp. 1361–1365, 1985

⁶ "UV Inactivation of Selected Bacteria and Viruses With Photoreactivation of the Bacteria," Harris, D. George, Adams, Dean, et al., *Water Resources*, Vol. 21, pp. 687–692, 1986

⁷ "Using UV to Inactivate *Cryptosporidium*," Clancy, J. L., et al. *Journal of American Water Works*, Vol 92, Issue 9, pp. 97-104, 2000

Giardia (Craik, 2000)⁸ show that a minimum 3- to 4-log reduction in both *Cryptosporidium* and *Giardia* may be accomplished by a UV dosage of 10 mJ/cm².

Where drinking water is considered to be free of disease causing pathogenic organisms and has a turbidity level within acceptable drinking water standards, ultraviolet treatment may be useful for the supplemental treatment of this drinking water. It would be suitable for the reduction of normally occurring microbiological flora (non-spore forming heterotrophic bacteria) commonly found in drinking water. Survival data (Chang, 1985)⁹ show that a greater than 2-log reduction of non-spore forming heterotrophic bacteria may be accomplished by an ultraviolet dosage of 16,000 μW-sec/cm². The yeast organism *Saccharomyces cerevisiae* was chosen as the test challenge to allow for a reasonable influent concentration and an easily measured reduction in the effluent. Most vegetative bacteria, including coliform species, are too susceptible to UV radiation at the dose range of 16,000 μW-sec/cm² to allow for measurable testing.

This version of the Standard contains the following revisions:

Issue 40

This revision added clarification regarding the maximum number of samples exposed in the Materials evaluation under section 4.

It is the intent of the Joint Committee to eliminate the use of *S. cerevisiae* as a challenge organism for Class B devices from the Standard after September 2017, a period of five years from the adoption of using T1 Coliphage as a challenge organism for Class B devices.

This Standard was developed by the NSF Joint Committee on Drinking Water Treatment Units using the consensus process described by the American National Standards Institute.

Suggestions for improvement of this Standard are welcome. This Standard is maintained on a Continuous Maintenance schedule and can be opened for comment at any time. Comments should be sent to Chair, Joint Committee on Drinking Water Treatment Units at standards@nsf.org or, c/o NSF International, Standards Department, P.O. Box 130140, Ann Arbor, Michigan 48113-0140, USA.

⁸ "Inactivation of *Giardia Muris* Cysts Using Medium-Pressure Ultraviolet Radiation in Filtered Drinking water," Craik, S. A., et al. *Water Resources*, Vol. 34, No. 18, pp 4325-4332, 2000

⁹ Ibid. p.1362

NSF/ANSI Standard for Drinking Water Treatment Units –

Ultraviolet microbiological water treatment units

1 General

1.1 Purpose

The purpose of this Standard is to establish minimum requirements for the reduction of microorganisms using ultraviolet radiation (UV). UV water treatment systems covered by this Standard are intended for water that may be either microbiologically safe or microbiologically unsafe. This Standard also specifies the minimum product literature and labeling information that a manufacturer shall supply to authorized representatives and system owners, as well as the minimum service-related obligations that the manufacturer shall extend to system owners.

1.2 Scope

This Standard covers ultraviolet microbiological water treatment systems and components for point-of-use and point-of-entry applications. Systems are intended to be used under the following specific conditions.

1.2.1 Class A systems

Class A point-of-entry and point-of-use systems covered by this Standard are designed to inactivate and/or remove microorganisms, including bacteria, viruses, *Cryptosporidium* oocysts, and *Giardia* cysts, from contaminated water. Systems covered by this Standard are not intended for the treatment of water that has an obvious contamination or intentional source, such as raw sewage, nor are systems intended to convert wastewater to drinking water. The systems are intended to be installed on visually clear water (not colored, cloudy, or turbid).

Class A systems not installed downstream of a device tested for cyst reduction/inactivation in conformance to the appropriate NSF/ANSI standard may claim *Cryptosporidium* oocysts and *Giardia* cysts only. Class A systems installed downstream of a device tested for cyst reduction/inactivation in conformance to the appropriate NSF/ANSI standard may make a general cyst claim when used on untreated surface waters and/or ground water under the direct influence of surface water.

NOTE – Current data support that *Cryptosporidium* oocysts and *Giardia* cysts are inactivated by ultraviolet treatment.

1.2.2 Class B systems or components

Class B point-of-entry and point-of-use systems covered by this Standard are designed for supplemental bactericidal treatment of disinfected public drinking water or other drinking water that has been tested and deemed acceptable for human consumption by the state or local health agency having jurisdiction. The system is designed to reduce normally occurring nonpathogenic nuisance microorganisms only. The Class B system is not intended for the disinfection of microbiologically unsafe water and may not make individual or general cyst claims. Class B systems shall not make microbiological health effects claims.